

UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/760,614	01/16/2001	Freddie Lin	2008.004

CONFIRMATION NO. 4897

FORMALITIES LETTER



OC00000005814843

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INTELLECTUAL PROPERTY ATTORNEYS
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Date Mailed: 03/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/30/2001 SSESHE1 00000012 09760614

FILED UNDER 37 CFR 1.53(b)

01 FC:122

130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$112.
 - \$72 for 8 total claims over 20.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 532.

05/04/2001 NHASSANI 00000006 141080 09760614

The following item(s) appear to have been omitted from the application

01 FC:201	355.00 CH
02 FC:203	72.00 CH
03 FC:202	40.00 CH
04 FC:205	65.00 CH

- Page(s) 1 of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was

filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

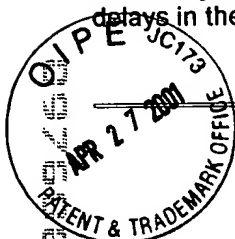
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

FILE NO. 080976051 (ATTY. ADVISORY)
 FILE DATE: 03-01-2001 (20010301)
 BROADDATA COMMUN/INIT: (20010301)
 ACTION: 10 RES PERIOD M DKL
 MISSING PARTS DUE 14012

FILE NO. 080976051 (ATTY. ADVISORY)
 FILE DATE: 03-01-2001 (20010301)
 BROADDATA COMMUN/INIT: (20010301)
 ACTION: 10 RES PERIOD MONTHS DKL
 6 Month Follow-up Notice / 14212



TELEPHONE



Re: U.S. Patent Application for MULTILAYER LOSSLESS DATA COMPRESSION
ACROSS A NETWORK 2008 004/mc1
Inventors(s): LIN et al.
Assignee: POC
Priority: n/a

The following has been received in the U.S. Patent Office on the DATE STAMPED
hereon and assigned SERIAL NO.

CUSTOMER NO. 023907

Transmittal letter dated January 16, 2001, enclosing:
11 pages of Specification
28 Claims
☒ Abstract of the Disclosure
☒ copy of 5 sheet(s) of formal patent drawings
☒ Combined Declaration, Petition & Power of Attorney (unexecuted)
☒ ~~Verified~~ Statement Claiming Small Entity Status (within transmittal letter)
☐ Assignment document and check in the amount of \$ _____
☐ Check in the amount of \$ _____
☐ Priority Document _____
☐ Other _____

jc918 U.S. PTO
09/760614
01/16/01